

GP/2131 #2  
PTO/SB/21 (05-03)Approved for use through 04/30/2003. OMB 0651-0031  
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|---|----------------------|----------------------------------|---|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/898,168                       |   |
|   | Filing Date          | July 3, 2001                     |   |
|   | First Named Inventor | Okimoto, John I. <b>RECEIVED</b> |   |
|   | Art Unit             | 2131 <b>JUN 11 2003</b>          |   |
|   | Examiner Name        |                                  |   |
| Total Number of Pages in This Submission  | 4 + 2<br>refs.       | Attorney Docket Number           | 018926-006800US <b>Technology Center 2100</b> |

| ENCLOSURES (Check all that apply)  |  |   |
|--|--|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s)<br>(please identify below):<br>Return Postcard |
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| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual                         | Townsend and Townsend and Crew LLP<br>Horace H. Ng Reg. No. 39,315 |
| Signature                                  |  |
| Date                                       | 6/4/03   |

| CERTIFICATE OF TRANSMISSION/MAILING   |                     |      |              |
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| Typed or printed name   | Julie Taylor Clough |      |              |
| Signature   |                     | Date | June 5, 2003 |

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PATENT  
Attorney Docket No.: 018926-006800US  
Client Reference No.: D2582

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On JUNE 5, 2003

TOWNSEND and TOWNSEND and CREW LLP

By: Julie Taylor Clough

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**RECEIVED**

**JUN 11 2003**

In re application of:

John I. Okimoto, et al.

Application No.: 09/898,168

Filed: July 3, 2001

For: SYSTEM FOR SECURING  
ENCRYPTION RENEWAL SYSTEM  
AND FOR REGISTRATION AND  
REMOTE ACTIVATION OF  
ENCRYPTION DEVICE

Examiner:

Art Unit: 2131

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

A handwritten signature in black ink, appearing to be 'H. Ng', is written over a horizontal line.

Horace H. Ng  
Reg. No. 39,315

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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|                        |                           |
|------------------------|---------------------------|
| Application Number     | 09/898,168                |
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| First Named Inventor   | Okimoto, John I., et. al. |
| Art Unit               | 2131                      |
| Examiner Name          |                           |
| Attorney Docket Number | 018926-006800US           |

### U.S. PATENT DOCUMENTS

| Examiner | Cite No. <sup>1</sup> | Document Number |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|----------|-----------------------|-----------------|-----------------------------------|--------------------------------|--|---|
|          |                       | Number          | Kind Code <sup>2</sup> (if known) |                                |  |   |
|          | AA                    | US-             |                                   |                                |  |   |
|          | AB                    | US-             |                                   |                                |  |   |
|          | AC                    | US-             |                                   |                                |  |   |
|          | AD                    | US-             |                                   |                                |  |   |
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|          | AF                    | US-             |                                   |                                |  |   |
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### FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |                     |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>8</sup>           |
|-----------------------|--------------------------|---------------------------|---------------------|-----------------------------------|--------------------------------|---|--|--------------------------|
|                       |                          | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |                                |   |  |                          |
|                       | AU                       | WO                        | 98/43426            | A1                                | 10-01-1998                     | Canal Societe<br>Anonyme                              |  | <input type="checkbox"/> |
|                       | AV                       | WO                        | 00/45306            | A1                                | 08-03-2000                     | Feldman   |  | <input type="checkbox"/> |
|                       | AW                       |                           |                     |                                   |                                |   |  | <input type="checkbox"/> |
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Examiner  
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Date  
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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